Index to the Provider-Based Compliance and Audit Guide

Background and Information

Seeking a Provider-Based Determination
Includes important background information on using this program and seeking a provider-based determination and status for a facility or organization.

Self-Assessment Checklist
A checklist of all of the considerations necessary for obtaining provider-based status, each of which is discussed in detail in the sections below.

Index to Documents
An index to the documents and external material contained in the program.

Requirements Applicable to Hospital Provider-Based Facilities
On and Off the Hospital Campus

Licensure
Sets forth the requirements for licensing a provider-based facility.

Clinical Integration: Clinical Privileges
Concerning the privileging of practitioners and allied health professionals at a provider-based facility.

Clinical Integration: Monitoring and Oversight
Concerning the appropriate monitoring and oversight required for practitioners and allied health professionals at a provider-based facility.

Clinical Integration: Medical Director Reporting Relationship, Supervision and Accountability
Concerning the requirements for a medical director of a provider-based facility.

Clinical Integration: Medical Staff Committees
Concerning the responsibilities of medical staff committees to a provider-based facility.

Clinical Integration: Medical Record Integration
Concerning the integration of medical records between the hospital and a provider-based facility.

Clinical Integration: Integration of Inpatient and Outpatient Services
Concerning the accessibility and referral of patients to the hospital from a provider-based facility.

**Financial Integration**
Assuring financial integration between the hospital and a provider-based facility.

**Public Awareness**
Steps to assure that the public is aware of the relationship between the hospital and a provider-based facility.

**EMTALA**
Certain provider-based facilities are required to comply with the applicable provisions of the Emergency Medical Treatment & Labor Act.

**Miscellaneous Additional Requirements**
Some additional requirements for hospitals and their provider-based facilities must be met.

**Additional Requirements Applicable Only to Off-Campus Provider-Based Facilities**

**Ownership and Control**
Assuring common ownership and control between the hospital and the provider-based facility.

**Administration and Supervision**
Assuring common administration and supervision between the hospital and the provider-based facility.

**Administration Function Integration**
Assuring integration of administration functions between the hospital and the provider-based facility.

**Location**
The off-campus facility must be within 35 miles of the main hospital or else meet certain special conditions.

**Miscellaneous Requirements**

**Joint Ventures**
Special rules for provider-based facilities operated as part of a joint venture.

**Management Contracts**
Special rules for off-campus provider-based facilities operated under a management contract.